



## 申請服務營辦商牌照 APPLICATION FOR SERVICES-BASED OPERATOR LICENCE

### 附註：

1. 申請人在填寫此表格前，須先閱讀《申請服務營辦商牌照的指引》。
2. 申請人必須填寫此申請表格所有部分，如表格未有填寫妥當，可能會阻延處理申請。
3. 填妥的表格連同證明文件應送交：

**香港灣仔**  
**皇后大道東 213 號**  
**胡忠大廈 29 樓**  
**通訊事務管理局辦公室**  
**首席規管事務經理(規管 21)**

或使用此表格最後一頁的「提交」按鈕作網上申請。

4. 如空位不敷應用，申請人可另頁填寫，所有送交通訊事務管理局辦公室(「通訊辦」)的文件將不會退還給申請人。
5. 如對申請牌照有任何查詢，請聯絡助理電訊監督(規管 21)：

**電話號碼： (852) 2961 6635**  
**傳真號碼： (852) 3155 0913**  
**電郵地址： sbo@ofca.gov.hk**

### 關於提供個人資料的補充附註：

1. 在此申請表格上提供個人資料屬自願性質。如果申請人沒有提供足夠的資料，通訊辦未必能夠處理申請人的申請。
2. 申請人提供的個人資料會被通訊辦用作處理申請。
3. 申請人在此申請表格提供的個人資料可能會被披露給其他政府部門／機構，以審核申請。
4. 申請人有權索閱和更改其提供的個人資料。索閱權利包括索取在申請表格提供的資料的副本，惟索取有關資料可能須繳付費用。
5. 如對申請表格內的個人資料有任何查詢，包括要求索閱和更改資料，應以書面方式向通訊辦個人資料主任提出，地址為香港灣仔皇后大道東 213 號胡忠大廈 29 樓，傳真號碼 2591 0316。

## NOTES:

1. The applicant should read the “*Guidelines for the Application for Services-Based Operator Licence*” before completing this form.
2. This application form **MUST** be completed in all parts. Incomplete application form may cause delay in processing.
3. The completed form, together with the required supporting documents should be submitted to:

**Office of the Communications Authority**  
**29/F, Wu Chung House**  
**213 Queen's Road East**  
**Wanchai**  
**Hong Kong**  
**(Attn.: Principal Regulatory Affairs Manager, Regulatory 21)**

OR by using the “Submit” button on the last page of this form to apply online.

4. The applicant may attach additional sheets of paper if the space provided in the application form is not enough. All documents submitted to the Office of the Communications Authority (“OFCA”) will not be returned.
5. Enquiries concerning the application may be made to Assistant Controller Telecom, Regulatory 21:

**Tel: (852) 2961 6635**  
**Fax: (852) 3155 0913**  
**Email: sbo@ofca.gov.hk**

## SUPPLEMENTARY NOTES ON THE PROVISION OF PERSONAL DATA:

1. The provision of personal data by means of this application form is voluntary. If the applicant does not provide sufficient information, OFCA may not be able to process the application.
2. The personal data provided by the applicant will be used by OFCA for processing the application.
3. The personal data provided by the applicant in this form may be disclosed to other government departments/agencies in connection with the assessment of the application.
4. The applicant has a right of request for access and correction with respect to the personal data provided by the applicant. The right of access includes the right to obtain a copy of the personal data provided by the applicant, though a charge may be levied on obtaining such information.
5. Enquiries concerning the personal data collected by means of this form, including the making of request for access and correction, should be submitted in writing to the Personal Data Officer, Office of the Communications Authority, 29/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong; or sent by fax to 2591 0316.

甲部  
PART A

申請人資料  
APPLICANT'S DETAILS

1. 公司名稱 Company Name:

(英文 English):

(中文 Chinese):

2. 登記辦事處地址 Registered Office Address:

3. 通訊地址 Correspondence Address:

(英文 English):

(中文 Chinese):

4. 公司網站 Company Website:

5. 電話號碼 (一般) Telephone No. (General):

6. 傳真號碼 (一般) Fax No. (General):

7. 聯絡人 Contact Person:

8. 聯絡人在公司內的職位 Position of Contact Person in the Company:

9. 聯絡人的電話號碼 Telephone No. of the Contact Person:

10. 聯絡人的傳真號碼 Fax No. of the Contact Person:

11. 聯絡人的電郵地址 E-mail Address of the Contact Person:

乙 部  
PART B

擬 議 服 務 範 疇  
SCOPE OF PROPOSED SERVICE

1. 請詳細註明擬議服務範疇，並說明業務名稱（如有）。  
Please specify clearly the scope of proposed service and state the trade name (if any).
2. 會否提供以下種類的服務（請在適當的方格內加上 ✓ 號）。有關以下種類服務的詳細資料，請參閱《申請服務營辦商牌照的指引》。  
Whether the following types of services will be provided (please enter ✓ in the appropriate check box). For details of the following types of services, please read the “Guidelines for the Application for Services-Based Operator Licence”.

- 第一類服務（本地語音電話服務）  
Class 1 services (local voice telephony services)
- 第二類服務（本地語音電話服務）  
Class 2 services (local voice telephony services)
- 非第一類、第二類或第三類服務的本地語音電話服務（請註明）  
Local voice telephony services other than Class 1, Class 2 or Class 3 services (please specify):

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請註明擬議本地語音電話服務（如適用）會否提供給固定及/或流動客戶  
Please specify whether the proposed local voice telephony services (if applicable) are provided to fixed and/or mobile customers.

- 固定客戶  
Fixed customers
- 流動客戶  
Mobile customers

第三類服務  
Class 3 services

- 對外電訊服務(例如電話卡服務、國際直撥電話服務等)(請註明服務種類)  
External telecommunications services (ETS) e.g. calling card service, IDD service, etc.  
(please specify types of services):  

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- 國際增值電訊網絡服務(包括互聯網接達服務)(請註明服務種類)  
International value-added network services (IVANS) **including** Internet access  
services (IAS) (please specify types of services):  

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- 國際增值電訊網絡服務(不包括互聯網接達服務)(請註明服務種類)  
IVANS **not including** IAS (please specify types of services):  

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- 流動虛擬網絡營辦商服務  
Mobile virtual network operator (MVNO) services
- 公共無線電通訊轉播服務  
Public radio communications relay (RELAY) services
- 顯像傳真會議服務  
Teleconferencing (TELECON) services
- 私人收費電話機服務  
Private payphone services (PPS)
- 保安及火警警報訊號傳送服務  
Security and fire alarm signals transmission (ALARM) services
- 航空器上流動通訊服務  
Mobile communications services on board an aircraft (MCA Services)
- 其他(請註明)  
Others (please specify):  

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成功申請人須承諾在香港提供他們在申請書、提交的文件及申述中說明的擬議服務。  
Successful applicants should be committed to the provision of the proposed services in  
Hong Kong as detailed in their application, submissions and representations.

1. 如申請人是一家在香港成立的公司，須呈交公司註冊證書與商業登記證副本。於香港以外成立的公司如已根據《公司條例》(第 622 章)註冊為非香港公司，通訊事務管理局(「通訊局」)亦可能會考慮該公司的申請。為此，申請人須呈交非香港公司的登記證明書與商業登記證副本，供通訊局審核。  
If the applicant is a company incorporated in Hong Kong, it is required to submit a copy of its Certificate of Incorporation and Business Registration Certificate. If the applicant is a company incorporated outside Hong Kong, the Communications Authority (“CA”) may also consider its application provided that it has registered under the Companies Ordinance (Cap. 622) as a non-Hong Kong company. In this connection, the applicant is required to submit a copy of its Certificate of Registration of Non-Hong Kong Company and Business Registration Certificate to the CA for examination.
  
2. 有關公司及股權結構的詳細資料，包括其控股公司或相聯公司的關係。申請人須提供文件，顯示公司的法定股本、已發行股本與繳足款股本的情況，以及現時公司的股權結構，例如「表格 NAR1 - 周年申報表」或公司組織章程細則經認證的副本，連同「表格 NSC1 - 股份配發申報書」(若適用)。  
Detailed information on corporate and shareholding structure including relationships with holding or related companies. The applicant is required to provide documents showing the authorised, issued and paid-up share capital and the current shareholding structure of the company, e.g. “Form NAR1 – Annual Return” or certified copies of Articles of Association of the company together with the “Form NSC1 – Return of Allotment”, if applicable.
  
3. 申請人須呈交公司註冊處文件的副本，即「表格 NNC1 – 法團成立表格(股份有限公司)」或「表格 NNC1G – 法團成立表格(股份有限公司以外的公司)」及「表格 ND2A – 更改公司秘書及董事通知書(委任/停任)」(若適用)，供通訊局審核。  
The applicant is required to submit a copy of the documents that it filed to the Companies Registry, i.e. “Form NNC1 – Incorporation Form (Company Limited by Shares)” or “Form NNC1G – Incorporation Form (Company not Limited by Shares)” and, “Form ND2A – Notice of Change of Company Secretary and Director (Appointment/Cessation)” where applicable, to the CA for examination.

4. 公司董事及主要人員的詳情。  
Details on the composition of the company Board of Directors and key officers.
5. 假如申請人 (或其相聯公司) 目前或曾經持有根據《電訊條例》(第 106 章) 發出的任何牌照, 請列出有關牌照及牌照號碼。如不適用, 請填「NIL」。  
If the applicant (or its associated companies) currently hold(s) or previously held any licences issued under the Telecommunications Ordinance (Cap. 106), please provide a list of all such licences and licence numbers. If not applicable, please insert "NIL".
6. 假如申請人的董事兼任其他公司的董事, 而有關公司目前或曾經持有根據《電訊條例》(第 106 章) 發出的任何牌照, 請就每名董事列出有關牌照、牌照號碼及持牌人名稱。如不適用, 請填「NIL」。  
If the director(s) of the applicant is/are also the director(s) of any other companies which currently hold or previously held any licences issued under the Telecommunications Ordinance (Cap. 106), please provide a list of all such licences, licence numbers and licensees' names in relation to each director. If not applicable, please insert "NIL".

丁部  
PART D

財務資料  
FINANCIAL INFORMATION

(擬提供 (i)本地語音電話服務，包括第一類、第二類以及非第一類、第二類或第三類服務；或 (ii)流動虛擬網絡營辦商服務的申請人，必需填寫此部。  
擬提供其他服務的申請人則不需填寫此部。)

**(Applicant proposing to provide (i) local voice telephony service, including Class 1, Class 2 and Other than Class 1, Class 2 or Class 3 services; or (ii) MVNO services, is required to complete this part. Applicant proposing to provide other services does not need to complete this part.)**

1. 申請人須有足夠的財政能力和願意投資足夠資金以提供令人滿意的服務。請提交以下資料：

The applicant must have sufficient financial capability and willingness to invest sufficient capital to provide a satisfactory service. The following information should be provided:

(a) (i)若申請人是已成立的公司，其申請須提供經審計的財務報表，包括最近三年的核數報告副本，連同最近的中期業績副本（如適用）。

If the applicant is an established company, the application should include copies of audited financial statements, including the auditor reports for the last three years, together with the most recent interim results (if applicable).

(ii)若申請人是新成立的公司，其申請須提供：

- (1) 已發行股本與繳足款股本數額的董事證明書，
- (2) 銀行證實其存款額及／或可用信貸的文件，以及
- (3) 公司股東最近三年經審計的財務報表，包括最近三年的核數報告副本，連同最近的中期業績副本（如適用）

If the applicant is a newly-formed company, the application should include:

- (1) directors' certificate of the amount of issued and paid-up share capital;
- (2) bankers' confirmation of the amount of its deposits and/or available credit facilities; and
- (3) copies of audited financial statements, including the auditor reports for the last three full years, together with the most recent interim results (if applicable), of the company's shareholding companies.



- (b) 一份經營擬議服務首三年的預算損益表。損益表須清楚載列計劃的資本開支分類、經營成本項目（例如：勞工、租金、折舊、交換式／專用線路成本、互連費等）、收費水平、預計的用戶數目和擬議服務每年的預計收入並包括每類擬提供服務的收入。

A budgeted profit and loss statement for the proposed service for the first three years of operation. The statement should include a breakdown of the planned capital expenditure, operating cost items (e.g. labour, rental, depreciation, cost for switched / leased circuits, payment for interconnection charge, etc.), level of charges, estimated number of subscribers and estimated annual revenue with revenue for each type of services to be provided.

- (c) 足夠的證明文件以證實申請人有財政能力應付財務計劃所需要的資本投資。證明文件的例子包括銀行證實存款額及或可用信貸的文件、申請人的經審計帳目副本，以顯示其有足夠的內部資金等。若申請人從其控股公司獲得資本，則須呈交其控股公司的證明信連同證明文件，以證明其控股公司的財政能力。

Sufficient documentary evidence to prove that the applicant has the financial capability to meet the capital investment required in the financial plan. Examples of documentary evidence may include bankers' confirmation of the amount of deposits or available credit facilities, copies of the audited accounts of the applicant showing that there are sufficient internal funds available, etc. If the applicant is being financed by its holding company, a supporting letter from its holding company together with documentary evidence to demonstrate the financial capability of its holding company is required.

1. 申請人須提交就擬議裝置系統的詳細資料，及說明申請人如何經營、維持和提供良好、有效及持續的擬議服務。有關說明須包括：  
The applicant should provide a detailed description of the proposed system to be installed and should illustrate how the applicant would operate, maintain and provide good, efficient and continuous services. The description should cover:
- (a) 詳細說明為經營擬議服務而裝置的系統，包括系統配置、架構和操作以及該系統如何與其他固定對內／固定對外／流動電訊網絡／服務互連（若適用）。申請人須呈交系統配置或網絡的詳細圖解，以說明建議的互連安排，這包括  
A detailed description of the system to be installed for the operation of the proposed service, including system configuration, architecture and operation and if appropriate, how the system is interconnected with other local fixed/external fixed/mobile telecommunications networks/services. The applicant is required to submit a detailed system configuration or network diagram to illustrate the proposed interconnection arrangement, including
- (i) 將要互連的一方（例如傳送者持牌人及服務營辦商持牌人等）；及  
parties to be interconnected with (e.g. carrier licensees and SBO licensees, etc.);  
and
  - (ii) 用作互連的媒介（例如透過交換線路、透過本地／國際專用線路等）、傳輸規約／訊號和每類線路的容量。  
the means for interconnection (e.g. by switched circuits, by local/international private leased circuits etc.), transmission protocol/signalling and the capacity of each type of circuits.
- (b) 計劃裝置系統的地點。  
The planned location of system to be installed.

1. 申請人須要詳細說明所有擬議服務的操作方式，有關說明須包括：  
The applicant should provide a detailed description of the operation of all proposed services. The description should cover:
- (a) 服務操作的詳細資料，包括任何特別的操作資料（例如客戶操作手冊）。  
Details of how the service will be operated, including any special operational features (e.g. the operation manual for customers).
- (b) 說明預算提供服務的日期。如分期推出擬議服務，請敘明實施時間表。  
Please state the expected service launch date. Please provide implementation schedule if the proposed services are to be introduced in stages.

1. 申請人須要提供技術支援的詳細說明，有關說明須包括：  
The applicant should provide a detailed description of the technical support. The description should cover:
  - (a) 說明在香港的技術支援設施  
Description of the technical support facilities in Hong Kong
  
  - (b) 說明負責技術支援設施日常操作和保養的技術人員  
Description of the technical personnel responsible for day-to-day operation and maintenance of the support facilities
  
  - (c) 說明系統保養常規時間表／程序的詳情  
Description of the system routine maintenance schedule/procedure with details

1. 申請人須有能力經營擬議服務達致通訊局滿意的程度，這包括經營擬議服務所需的專門知識。申請人須提交有關申請人、公司股東及主要人員以往開設及經營擬議電訊服務的經驗的詳情、這些服務現時的情況，以及申請人和其公司股東在香港或海外經營其他相關業務的經驗。

The applicant must have the capability of running the proposed services to the satisfaction of the CA, including the expertise required for the operation of the proposed services. Details on the previous experience of the applicant, its shareholders and key personnel in establishing and running the proposed telecommunications services, as well as information on the current status of these services, should be given. Information should also be provided on the applicant and its shareholders' experience in running other relevant businesses in Hong Kong or overseas.

王部  
PART I

夾附文件  
ATTACHED DOCUMENTATION

請在適當的方格內加上 ✓ 號。  
Please enter ✓ in the appropriate check box.

- 1. 如為香港成立的公司，須提供公司註冊證書與商業登記證副本  
For company incorporated in Hong Kong, please provide a copy of Certificate of Incorporation and Business Registration Certificate
- 2. 如為香港以外成立的公司，須提供非香港公司的登記證明書與商業登記證副本  
For company incorporated in outside Hong Kong, please provide a copy of Certificate of Registration of Non-Hong Kong Company and Business Registration Certificate
- 3. 有關股權結構詳情的文件，例如「表格 NAR1 - 周年申報表」或公司組織章程細則，連同「表格 NSC1 - 股份配發申請報書」（若適用）  
Document describing the details of shareholding structure, e.g. “Form NAR1 – Annual Return” or Articles of Association of the company together with the “Form NSC1 – Return of Allotment”, if applicable
- 4. 公司註冊處文件的副本，即「表格 NNC1 – 法團成立表格(股份有限公司)」或「表格 NNC1G – 法團成立表格(股份有限公司以外的公司)」及「表格 ND2A -更改公司秘書及董事資料更改通知書」（委任／停任）（若適用）  
Copy of the documents filed to the Companies Registry, i.e. “Form NNC1 – Incorporation Form (Company limited by shares)” or “Form NNC1G –Incorporation Form (Company not limited by shares)” and “Form ND2A – Notice of Change of Company Secretary and Director (Appointment/Cessation)” where applicable
- 5. 如為已成立的公司，須提供最近三年的核數報告副本，連同最近的中期業績副本（如適用）  
For established company, please provide auditor reports for the last three years, together with the most recent interim results (if applicable)
- 6. 如為新成立的公司，須提供 (1) 已發行股本與繳足款股本數額的董事證明書，(2) 銀行證實其存款額及／或可用信貸的文件，以及 (3) 公司股東最近三年經審計的財務報表，包括最近三年的核數報告副本，連同最近的中期業績副本（如適用）  
For newly-formed company, please provide (1) directors’ certificate of the amount of issued and paid up share capital; (2) bankers’ confirmation of the amount of its deposits and/or available credit facilities; and (3) copies of audited financial statements, including auditor reports for the last three years, together with the most recent interim results, of the company's shareholding companies (if applicable)
- 7. 經營擬議服務首三年的預算損益表  
Budgeted profit and loss statement for the proposed service for the first three years of operation
- 8. 足夠的證明文件以證實申請人有財務計劃所需要的資本投資  
Sufficient documentary evidence to prove that the applicant has the financial capability to meet the capital investment required in the financial plan
- 9. 系統配置或網絡的詳細圖解  
Detailed system configuration or network diagram
- 10. 服務操作說明文件  
Document describing the operation of the service

- 11. 預算提供服務的日期和每項服務的實施時間表（如擬分期推出服務）的說明文件  
Document describing the expected service launch date and the implementation schedule if the proposed services are to be introduced in stages
- 12. 在香港的技術支援設施的說明文件  
Description of the technical support facilities in Hong Kong
- 13. 負責技術支援設施日常操作和保養的技術人員的說明文件  
Description of the technical personnel responsible for day to day operation and maintenance of the support facilities
- 14. 系統保養常規時間表／程序的說明文件  
Description of the system routine maintenance schedule/procedure
- 15. 電訊經驗  
Relevant experience in telecommunications
- 16. 其他文件（請註明）：  
Any other document(s), please specify:

備註：  
Remark:

除流動虛擬網絡營辦商服務外，提供其他第三類服務的申請人不需呈交上述 5 至 8 項目所列文件。

**Applicant providing Class 3 services other than MVNO services does not need to submit the document(s) for items 5 to 8.**

1. 若認為其他資料可協助其申請，申請人可呈交以上沒有指定的其他資料。  
The applicant may submit any other information not specified above if it considers helpful to the application.



子部  
PART K

申請人聲明  
DECLARATION OF APPLICANT

致：通訊事務管理局

To: The Communications Authority,

我／我們特此聲明，我／我們在此表格和所呈交文件提供的資料與詳情，就我／我們所知全部屬實、正確和完整。我／我們明白若此表格和所呈交文件有任何不實、不正確和不完整的資料，有關申請可能不獲批准。

I/We hereby declare that the information and particulars given by me/us in this form and in the documents submitted are to the best of my/our knowledge true, correct and complete. I/We understand that any untrue, incorrect and incomplete information in this form and the documents submitted may lead to rejection of the application.

\*簽名及公司印鑑：  
(代表申請人)

\*Signature with company chop:  
(for and on behalf of the applicant)

\_\_\_\_\_

簽署人姓名 (請以正楷填寫):  
Signatory's full name in block letters:

\_\_\_\_\_

職位：  
Position held:

\_\_\_\_\_

日期：  
Date:

\_\_\_\_\_

\*如經網上提交申請表格，申請人毋須簽署和蓋印。

\* Signature and company chop are not required for application form submitted online.